# Weak form of Holzapfel's Conjecture

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#### Abstract

Let  $\mathbb{B} \subset \mathbb{C}^2$  be the unit ball and  $\Gamma$  be a lattice of  $\mathrm{SU}(2,1)$ . Bearing in mind that all compact Riemann surfaces are discrete quotients of the unit disc  $\Delta \subset \mathbb{C}$ , Holzapfel conjectures that the discrete ball quotients  $\mathbb{B}/\Gamma$  and their compactifications are widely spread among the smooth projective surfaces. There are known ball quotients  $\mathbb{B}/\Gamma$  of general type, as well as rational, abelian, K3 and elliptic ones. The present note constructs three non-compact ball quotients, which are birational, respectively, to a hyper-elliptic, Enriques or a ruled surface with an elliptic base. As a result, we establish that the ball quotient surfaces have representatives in any of the eight Enriques classification classes of smooth projective surfaces.

## 1 Introduction

In his monograph [4] Rolf-Peter Holzapfel states as a working hypothesis or a philosophy that : "... up to birational equivalence and compactifications, all complex algebraic surfaces are ball quotients." By a complex algebraic surface is meant a smooth projective surface over  $\mathbb{C}$ . These have smooth minimal models, which are classified by Enriques in eight types - rational, ruled of genus  $\geq 1$ , abelian, hyperelliptic, K3, Enriques, elliptic and of general type. The compact torsion free ball quotients  $\mathbb{B}/\Gamma$  are smooth minimal surfaces of general type. Ishida (cf.[10]), Keum (cf.[11], [12]) and Dzambic (cf.[1]) obtain elliptic surfaces, which are minimal resolutions of the isolated cyclic quotient singularities of compact ball quotients. Hirzebruch (cf.[2]) and then Holzapfel (cf.[3], [9], [7]) construct torsion free ball quotient compactifications with abelian minimal models. In [9] Holzapfel provides a ball quotient compactification, which is birational to the Kummer surface of an abelian surface, i.e., to a smooth minimal K3 surface. Rational ball quotient surfaces are explicitly recognized and studied in [6], [8]. The present work constructs smooth ball quotients with a hyperelliptic or, respectively, a ruled model with an elliptic base. It provides also a ball quotient with one double point, which is birational to an Enriques surface. All of them are finite Galois quotients of a non-compact torsion free  $\mathbb{B}/\Gamma_{-1}^{(6,8)}$ , constructed by Holzapfel in [9] and having abelian minimal model of the toroidal compactification. As a result, we establish the following

**Theorem 1.** (Weak Form of Holzapfel's Conjecture) Any of the eight Enriques classification classes of complex projective surfaces contains a ball quotient surface.

## 2 Ball Quotient Compactifications with Abelian Minimal Models

Let us recall that the complex 2-ball

$$\mathbb{B} = \{(z_1, z_2) \in \mathbb{C}^2; |z_1|^2 + |z_2|^2 < 1\} = \mathrm{SU}(2, 1) / \mathrm{S}(\mathrm{U}(2) \times \mathrm{U}(1))$$

is an irreducible non-compact Hermitian symmetric space. The discrete biholomorphism groups  $\Gamma \subset \mathrm{SU}(2,1)$  of  $\mathbb{B}$ , whose quotients  $\mathbb{B}/\Gamma$  have finite  $\mathrm{SU}(2,1)$ -invariant measure are called ball lattices. The present section studies the image T of the toroidal compactifying divisor  $T' = (\mathbb{B}/\Gamma)' \setminus (\mathbb{B}/\Gamma)$  on the minimal model A of  $(\mathbb{B}/\Gamma)'$ , whenever A is an abelian surface. It establishes that for any subgroup  $H \subseteq Aut(A,T)$  there is a ball quotient  $\mathbb{B}/\Gamma_H$ , birational to A/H.

**Lemma 2.** If a ball quotient  $\mathbb{B}/\Gamma$  is birational to an abelian surface A then  $\mathbb{B}/\Gamma$  is smooth and non-compact.

Proof. Assume that  $\mathbb{B}/\Gamma$  is singular. For a compact  $\mathbb{B}/\Gamma$  set  $U = \mathbb{B}/\Gamma$ . If  $\mathbb{B}/\Gamma$  is noncompact, let  $U = (\mathbb{B}/\Gamma)'$  be the toroidal compactification of  $\mathbb{B}/\Gamma$ . In either case Uis a compact surface with isolated cyclic quotient singularities. Consider the minimal resolution  $\varphi : Y \to U$  of  $p_i \in U^{\text{sing}}$  by Hirzebruch-Jung strings  $E_i = \sum_{t=1}^{\nu_i} E_i^t$ . The irreducible components  $E_i^t$  of  $E_i$  are smooth rational curves of self-intersection  $(E_i^t)^2 \leq$ -2. The birational morphism  $Y \longrightarrow A$  transforms  $E_i^t$  onto rational curves on A. It suffices to observe that an abelian surface A does not support rational curves C, in order to conclude that  $\mathbb{B}/\Gamma$  is smooth. The compact smooth ball quotients are known to be of general type, so that  $\mathbb{B}/\Gamma$  is to be non-compact.

Assume that there is a rational curve  $C \subset A$ . Its desingularization  $f : \widetilde{C} \to C$  can be viewed as a holomorphic map  $F : \widetilde{C} \to A$ . Homotopy lifting property applies to Fand provides a holomorphic immersion  $\widetilde{F} : \widetilde{C} \to \widetilde{A} = \mathbb{C}^2$  in the universal cover  $\widetilde{A}$  of A, due to simply connectedness of the smooth rational curve  $\widetilde{C}$ . Its image  $\widetilde{F}(\widetilde{C})$  is a compact complex-analytic subvariety of  $\mathbb{C}^2$ , which maps to compact complex-analytic subvarieties  $\operatorname{pr}_i(\widetilde{F}(\widetilde{C})) \subset \mathbb{C}$  by the canonical projections  $\operatorname{pr}_i : \mathbb{C}^2 \to \mathbb{C}, 1 \leq i \leq 2$ . Thus,  $\operatorname{pr}_i(\widetilde{F}(\widetilde{C}))$  and, therefore,  $\widetilde{F}(\widetilde{C})$  are finite. The contradiction justifies the non-existence of rational curves on A.

The next lemma lists some immediate properties of the image T of the toroidal compactifying divisor T' of  $A' = (\mathbb{B}/\Gamma)'$  on its abelian minimal model A.

**Lemma 3.** Let  $A' = (\mathbb{B}/\Gamma)'$  be a smooth toroidal ball quotient compactification,  $\xi : A' \to A$  be the blow-down of the (-1)-curves  $L = \sum_{j=1}^{s} L_j$  on A' to an abelian surface A

and  $T'_i$ ,  $1 \leq i \leq h$  be the disjoint smooth elliptic irreducible components of the toroidal compactifying divisor  $T' = (\mathbb{B}/\Gamma)' \setminus (\mathbb{B}/\Gamma)$ . Then:

(i)  $T_i = \xi(T'_i)$  are smooth irreducible elliptic curves on A;

(ii) 
$$T^{\text{sing}} = \sum_{1 \le i < j \le h} T_i \cap T_j = \xi(L);$$
  
(iii)  $T_i \cap T^{\text{sing}} \neq \emptyset$  and the restrictions  $\xi : T'_i \to T_i$  are bijective for all  $1 \le i \le h$ 

*Proof.* (i) According to the birational invariance of the genus, the curves  $T_i = \xi(T'_i)$  have smooth elliptic desingularizations. It suffices to show that any curve  $C \subset A$  of genus 1 is smooth. If C is singular then its desingularization  $\widetilde{C}$  is a smooth elliptic curve. Therefore, the composition  $\widetilde{C} \to C \hookrightarrow A$  of the desingularization map with the identical inclusion of C is a morphism of abelian varieties. In particular, it is unramified, which is not the case for  $\widetilde{C} \to C$ . Therefore any curve  $C \subset A$  of genus 1 is smooth.

(ii) The inclusion  $T^{\text{sing}} \subseteq \sum_{\substack{1 \le i < j \le h}} T_i \cap T_j$  follows from (i). For the opposite inclusion,

note that  $\xi|_{A'\setminus L} = \operatorname{Id}_{(A'\setminus L)} : A' \setminus L \to A \setminus \xi(L)$  guarantees  $T_i = \xi(T'_i) \neq \xi(T'_j) = T_j$ and different elliptic curves on an abelian surface intersect transversally at any of their intersection points. Thus,  $T^{\operatorname{sing}} = \sum_{1 \leq i < j \leq h} T_i \cap T_j$ . The disjointness of  $T'_i$  yields  $\sum_{1 \leq i < j \leq h} T_i \cap T_j \subseteq \xi(L)$ . Conversely, the Kobayashi hyperbolicity of  $\mathbb{B}/\Gamma$  requires  $\operatorname{card}(L_j \cap T') \geq 2$ for all  $1 \leq j \leq s$ . However,  $\operatorname{card}(L_j \cap T'_i) \leq 1$  by the smoothness of  $T_i = \xi(T'_i)$ , so that there exist at least two  $T'_i \neq T'_k$  with  $\operatorname{card}(L_j \cap T'_i) = \operatorname{card}(L_j \cap T'_k) = 1$ . In other words, the point  $\xi(L_j) \in T_i \cap T_k$ . That verifies the inclusion  $\xi(L) \subseteq \sum_{1 \leq i < j \leq h} T_i \cap T_j$ , whereas the

coincidence  $\xi(L) = \sum_{1 \le i < j \le h} T_i \cap T_j$ .

(iii) If  $T_i \cap \xi(L) = \emptyset$  then the intersection numbers  $(T'_i)^2 = T_i^2$  coincide. By the Adjunction Formula,

$$0 = -e(T_i) = T_i^2 + K_A \cdot T_i = T_i^2 + \mathcal{O}_A \cdot T_i = T_i^2,$$

so that  $(T'_i)^2 = 0$ . That contradicts the contractibility of  $T'_i$  to the corresponding cusp of  $\mathbb{B}/\Gamma$  and justifies  $T_i \cap T^{\text{sing}} \neq \emptyset$  for  $\forall 1 \leq i \leq h$ .

Note that  $\xi|_{T'_i \setminus L} = \operatorname{Id}|_{T'_i \setminus L} : T'_i \setminus L \to T_i \setminus \xi(L)$  is bijective. In order to define  $\xi^{-1} : T_i \cap \xi(L) \to T'_i \cap L$ , let us recall that for any  $p \in \xi(L)$  the smooth rational curve  $\xi^{-1}(p)$  has  $\operatorname{card}(\xi^{-1}(p) \cap T'_i) \leq 1$ . More precisely,  $\operatorname{card}(\xi^{-1}(p) \cap T'_i) = 1$  if and only if  $p \in T_i$ , so that for any  $p \in T_i \cap \xi(L)$  there is a unique point  $\{q(p)\} = T'_i \cap \xi^{-1}(p)$ . That provides a regular morphism  $\xi^{-1}(p) = q(p)$  for all  $p \in T_i \cap \xi(L)$ .

According to Lemma 3, the image  $T = \xi(T')$  of the toroidal compactifying divisor  $T' = (\mathbb{B}/\Gamma)' \setminus (\mathbb{B}/\Gamma)$  under the blow-down  $\xi : (\mathbb{B}/\Gamma)' \to A$  of the (-1)-curves is a multielliptic divisor, i.e.,  $T = \sum_{i=1}^{h} T_i$  has smooth elliptic irreducible components  $T_i$ , which intersect transversally. Note also that (A, T) determines uniquely  $(\mathbb{B}/\Gamma)'$  as the blow-up of A at  $T^{\text{sing}}$ . **Definition 4.** A pair (A, T) of an abelian surface A and a divisor  $T \subset A$  is an abelian ball quotient model if there exists a torsion free toroidal ball quotient compactification  $(\mathbb{B}/\Gamma)'$ , such that the blow-down  $\xi : (\mathbb{B}/\Gamma)' \to A$  of the (-1)-curves on  $(\mathbb{B}/\Gamma)'$  maps the pair  $((\mathbb{B}/\Gamma)', T' = (\mathbb{B}/\Gamma)' \setminus (\mathbb{B}/\Gamma))$  onto (A, T).

The next lemma explains the construction of non-compact ball quotients, which are finite Galois quotients of torsion free non-compact  $\mathbb{B}/\Gamma$ , birational to abelian surfaces.

**Lemma 5.** Let  $A' = (\mathbb{B}/\Gamma)' = (\mathbb{B}/\Gamma) \cup T'$  be a torsion free ball quotient compactification by a toroidal divisor T',  $\xi : A' \to A$  be the blow-down of the (-1)-curves on A' to the abelian minimal model A and  $T = \xi(T')$ . Then

(i) Aut(A, T) = Aut(A', T') is a finite group;

(ii) any subgroup  $H \subseteq Aut(A, T)$  lifts to a ball lattice  $\Gamma_H$ , such that  $\Gamma$  is a normal subgroup of  $\Gamma_H$  with quotient group  $\Gamma_H/\Gamma = H$  and  $\mathbb{B}/\Gamma_H$  is a non-compact ball quotient, birational to X = A/H.

Moreover, if X = A/H is a smooth surface then  $\mathbb{B}/\Gamma_H$  is a smooth ball quotient.

*Proof.* (i) If G = Aut(A, T), then Lemma 3(ii) implies the *G*-invariance of  $\xi(L)$ . By the means of an arbitrary automorphism of the smooth projective line  $\mathbb{P}^1$ , one extends the *G*-action to *L* and, therefore, to

$$A' = (A' \setminus L) \cup L = (A \setminus \xi(L)) \cup L.$$

The G-invariance of  $T' = \sum_{i=1}^{h} T'_i$  follows from Lemma 3(iii). That justifies the inclusion  $G \subseteq Aut(A', T')$ . For the opposite inclusion, note that the union L of the (-1)-curves is invariant under an arbitrary automorphism of A'. As a result, there arises a G-action on  $\xi(L)$  and  $A = (A \setminus \xi(L)) \cup \xi(L) = (A' \setminus L) \cup \xi(L)$ . The multi-elliptic divisor  $T = \sum_{i=1}^{h} T_i$  is G-invariant according to Lemma 3(iii). Consequently,  $Aut(A', T') \subseteq G$ , whereas G = Aut(A', T').

In order to show that G is finite, let us consider the natural representation

$$\varphi: G \longrightarrow \operatorname{Sym}(T_1, \ldots, T_h) \simeq Sym_h$$

in the permutation group of the irreducible components  $T_i$  of T. It suffices to prove that the kernel ker $\varphi$  is finite, in order to assert that G is finite. For any  $g = \tau_p g_o \in \ker \varphi \subset$ Aut(A) with linear part  $g_o \in Gl_2(\mathbb{C})$  and translation part  $\tau_p$ ,  $p \in A$ , we show that  $g_o$  and  $\tau_p$  take finitely many values. Note that the identical inclusions  $T_i \subset A$  are morphisms of abelian varieties. Thus, for any choice of an origin  $\check{o}_A \in T_i$  there is a  $\mathbb{C}$ -linear embedding  $\mathcal{E}_i : \widetilde{T}_i = \mathbb{C} \hookrightarrow \mathbb{C}^2 = \widetilde{A}$  of the corresponding universal covers. If  $\mathcal{E}_i(1) = (a_i, b_i)$  then

$$T_i = E_{a_i, b_i} = \{ (a_i t, b_i t) (\text{mod } \pi_1(A)) ; t \in \mathbb{C} \} \subset A.$$

If the origin  $\check{o}_A \notin T_i$ , then for any point  $(P_i, Q_i) \in T_i$  the elliptic curve  $T_i = E_{a_i, b_i} + (P_i, Q_i)$ . In either case, all  $v_i = (a_i, b_i)$  are eigenvectors of the linear part  $g_o$  of  $g = \tau_p g_o \in$ 

ker $\varphi$ . We claim that there are at least three pairwise non-proportional  $v_i$ . Indeed, if all  $v_i$  were parallel, then  $T^{\text{sing}} = \emptyset$ , which contradicts  $T_i \cap T^{\text{sing}} \neq \emptyset$  for  $1 \leq i \leq h$ by Lemma 3 (iii). Suppose that among  $v_1, \ldots, v_h$  there are two non-parallel and all other  $v_i$  are proportional to one of them. Then after an eventual permutation there is  $1 \leq k \leq h - 1$ , such that  $v_1, v_k$  are linearly independent,  $v_i = \mu_i v_1$  for  $\mu_i \in \mathbb{C}$ ,  $2 \leq i \leq k$ and  $v_i = \mu_i v_{k+1}$  for  $\mu_i \in \mathbb{C}$ ,  $k+2 \leq i \leq h$ . Holzapfel has proved in [9] that any abelian ball quotient model (A, T) is subject to  $\sum_{i=1}^{h} \operatorname{card}(T_i \cap T^{\operatorname{sing}}) = 4\operatorname{card}(T^{\operatorname{sing}})$ . In the case under consideration

$$\operatorname{card}(T^{\operatorname{sing}}) = \sum_{i=1}^{k} \sum_{j=k+1}^{h} \operatorname{card}(T_i \cap T_j),$$
$$\operatorname{card}(T_i \cap T^{\operatorname{sing}}) = \sum_{j=k+1}^{h} \operatorname{card}(T_i \cap T_j) \quad \text{for} \quad 1 \le i \le k \quad \text{and}$$
$$\operatorname{card}(T_j \cap T^{\operatorname{sing}}) = \sum_{i=1}^{k} \operatorname{card}(T_i \cap T_j) \quad \text{for} \quad k+1 \le j \le h.$$

Therefore  $\sum_{i=1}^{h} \operatorname{card}(T_i \cap T^{\operatorname{sing}}) = 2\operatorname{card}(T^{\operatorname{sing}}) \neq 4\operatorname{card}(T^{\operatorname{sing}})$  and there are at least three pairwise non-proportional eigenvectors  $v_1, v_2, v_3$  of  $g_o$ . Let  $\lambda_i$  be the corresponding

pairwise non-proportional eigenvectors  $v_1, v_2, v_3$  of  $g_o$ . Let  $\lambda_i$  be the corresponding eigenvalues of  $v_i$  and  $v_3 = \rho_1 v_1 + \rho_2 v_2$  for some  $\rho_1, \rho_2 \in \mathbb{C}^*$ . Then  $\lambda_3 v_3 = g_o(v_3) = \rho_1 \lambda_1 v_1 + \rho_2 \lambda_2 v_2$  implies that  $\lambda_1 = \lambda_3 = \lambda_2$  and  $g_o = \lambda_o I_2$  is a scalar matrix. On the other hand,  $g(T_i) = g_o(T_i) + p = T_i$  for  $\forall 1 \leq i \leq h$ , so that  $g_o$  permutes among themselves the parallel elliptic curves among  $T_1, \ldots, T_h$ . Since  $T_i$  are finitely many, there is a natural number m, such that  $g_o^m \in \ker \varphi$ . Therefore,  $\lambda_o^m \in \operatorname{End}(T_i)$  and  $\lambda_o^{-m} \in \operatorname{End}(T_i)$  for all  $1 \leq i \leq h$ , due to  $(g_o^m)^{-1} = g_o^{-m} \in \ker \varphi$ . Recall that the units group  $\operatorname{End}^*(T_i) = \mathbb{Z}^* = \{\pm 1\}$  for  $T_i$  without a complex multiplication. If the elliptic curve  $T_i$  has complex multiplication by an imaginary quadratic number field  $\mathbb{Q}(\sqrt{-d})$ ,  $d \in \mathbb{N}$ , then  $\operatorname{End}(T_i)$  is a subring of the integers ring  $\mathcal{O}_{-d}$  of  $\mathbb{Q}(\sqrt{-d})$ . The units groups  $\mathcal{O}_{-1}^* = \langle i \rangle, \mathcal{O}_{-3}^* = \langle e^{\frac{2\pi i}{6}} \rangle$ , and  $\mathcal{O}_{-d}^* = \langle -1 \rangle$  for  $\forall d \neq 1, 3$  are finite cyclic groups. As a subgroup of  $\mathcal{O}_{-d}^*$ , the units group  $\operatorname{End}^*(T_i)$  is a finite cyclic group. Therefore  $\lambda_o^m \in \operatorname{End}^*(T_i)$ and  $g_o = \lambda_o I_2$  take finitely many values.

Concerning the translation part  $\tau_p$  of  $g \in \ker \varphi$ , one can always move the origin  $\check{o}_A$  of A at one of the singular points of T. Due to the G-invariance of  $T^{\text{sing}}$ , there follows  $g(\check{o}_A) = \tau_p g_o(\check{o}_A) = \tau_p(\check{o}_A) = p \in T^{\text{sing}}$ . Therefore p takes finitely many values and  $\ker \varphi$  is finite.

(ii) Since  $\Gamma \subset SU(2,1)$  is a torsion free lattice, any subgroup H of

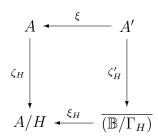
$$G = Aut(A', T') \subseteq Aut(A' \setminus T') = Aut(\mathbb{B}/\Gamma)$$

lifts to a subgroup  $\Gamma_H \subset Aut(\mathbb{B}) = SU(2,1)$ , which normalizes  $\Gamma$  and has quotient  $\Gamma_H/\Gamma = H$ . We claim that  $\Gamma_H$  is discrete. Indeed,  $\Gamma_H = \bigcup_{i=1}^k \gamma_i \Gamma$  is a finite disjoint

union of cosets, relative to  $\Gamma$ . Suppose that  $\Gamma_H$  is not discrete and there is a sequence  $\{\nu_n\}_{n=1}^{\infty} \subset \Gamma_H$  with a limit point  $\nu_o \in \gamma_{i_o}\Gamma$ . Then pass to a subsequence  $\{\nu_{m_n}\}_{n=1}^{\infty} \subset \gamma_{i_o}\Gamma$ , converging to  $\nu_o$ . As a result  $\{\gamma_{i_o}^{-1}\nu_{m_n}\}_{n=1}^{\infty} \subset \Gamma$  converges to  $\gamma_{i_o}^{-1}\nu_o \in \Gamma$  and contradicts the discreteness of  $\Gamma$ . Thus,  $\Gamma_H \supseteq \Gamma$  is discrete and, therefore, a ball lattice. Straightforwardly,

$$A'/H = \left[ \left( \mathbb{B}/\Gamma \right) / \left( \Gamma_H/\Gamma \right) \right] \cup \left( T'/H \right) = \left( \mathbb{B}/\Gamma_H \right) \cup \left( T'/H \right) = \overline{\left( \mathbb{B}/\Gamma_H \right)}$$

is the compactification of the ball quotient  $\mathbb{B}/\Gamma_H$  by the divisor T'/H. The *H*-Galois covers  $\zeta_H : A \to A/H$  and  $\zeta'_H : A' \to \overline{(\mathbb{B}/\Gamma_H)}$  fit in a commutative diagram



with the contraction  $\xi_H$  of L/H to  $\xi(L)/H$ .

Note that X = A/H is smooth exactly when H has no isolated fixed points on A. The blow-up  $\xi : A' \to A$  replaces an arbitrary  $p_j = \xi(L_j)$  with stabilizer  $Stab_H(p_j)$  by a smooth rational curve  $L_j$  with  $Stab_H(q) = Stab_H(p_j)$  for all  $q \in \underline{L}_j$ . Therefore the blow-up  $\xi$  does not create isolated H-fixed points on A' and  $A'/H = \overline{(\mathbb{B}/\Gamma_H)}$  is a smooth compactification. Its open subset  $\mathbb{B}/\Gamma_H$  is smooth.

### **3** Explicit Constructions

The present section applies Lemma 5 to a specific abelian ball quotient model over the Gauss numbers  $\mathbb{Q}(\mathfrak{G})$ , in order to provide ball quotient compactifications, which are birational to a hyperelliptic, Enriques or a ruled surface with an elliptic base.

**Theorem 6.** (Holzapfel [9]) Let us consider the elliptic curve  $E_{-1} = \mathbb{C}/(\mathbb{Z} + \mathbb{B}\mathbb{Z})$  with complex multiplication by the Gauss numbers  $\mathbb{Q}(\mathbb{B})$ , its 2-torsion points

$$Q_0 = 0 \pmod{\mathbb{Z} + i\mathbb{Z}}, \quad Q_1 = \frac{1}{2} \pmod{\mathbb{Z} + i\mathbb{Z}}, \quad Q_2 = \beta Q_1, \quad Q_3 = Q_1 + Q_2,$$

the abelian surface  $A_{-1} = E_{-1} \times E_{-1}$ , the points

$$Q_{ij} = (Q_i, Q_j) \in A_{2-tor} \subset A_{-1}$$

and the divisor  $T_{-1}^{(6,8)} = \sum_{i=1}^{8} T_i$  with smooth elliptic irreducible components

$$T_k = E_{\mathfrak{B}^k, 1}$$
 for  $1 \le k \le 4$ 

 $T_{m+4} = Q_m \times E_{-1}, \quad T_{m+6} = E_{-1} \times Q_m \quad \text{for} \quad 1 \le m \le 2.$ 

Then  $(A_{-1}, T_{-1}^{(6,8)})$  is an abelian model of an arithmetic ball quotient  $\mathbb{B}/\Gamma_{-1}^{(6,8)}$ , defined over  $\mathbb{Q}(\beta)$ .

**Corollary 7.** (Holzapfel [9]) (i) In the notations from Theorem 6, the multiplications  $I = \begin{pmatrix} \beta & 0 \\ 0 & 1 \end{pmatrix}, J = \begin{pmatrix} 1 & 0 \\ 0 & \beta \end{pmatrix}$  by  $\beta \in \mathbb{Z}[\beta] = \operatorname{End}(E_{-1})$  on the first, respectively, the second elliptic factor  $E_{-1}$  of  $A_{-1}$  are automorphisms of  $(A_{-1}, T_{-1}^{(6,8)})$ .

(ii) If  $\Gamma_{K3,-1}^{(6,8)}$  is the ball lattice, containing  $\Gamma_{-1}^{(6,8)}$  as a normal subgroup with quotient  $\Gamma_{K3,-1}^{(6,8)}/\Gamma_{-1}^{(6,8)} = \langle -I_2 = I^2 J^2 \rangle \subset Aut \left( A_{-1}, T_{-1}^{(6,8)} \right)$ , then the ball quotient  $\mathbb{B}/\Gamma_{K3,-1}^{(6,8)}$  is birational to the Kummer surface  $X_{K3}$  of  $A_{-1}$ .

(iii) If  $\Gamma_{Rat,-1}^{(6,8)}$  is the ball lattice, containing  $\Gamma_{-1}^{(6,8)}$  as a normal subgroup with quotient  $\Gamma_{Rat,-1}^{(6,8)}/\Gamma_{-1}^{(6,8)} = \langle I, J \rangle \subseteq Aut\left(A_{-1}, T_{-1}^{(6,8)}\right)$ , then the ball quotient  $\mathbb{B}/\Gamma_{Rat,-1}^{(6,8)}$  is a rational surface.

The next lemma obtains the entire automorphism group  $G_{-1}^{(6,8)} = Aut\left(A_{-1}, T_{-1}^{(6,8)}\right)$ .

**Lemma 8.** In the notations from Theorem 6, the group  $G_{-1}^{(6,8)} = Aut \left(A_{-1}, T_{-1}^{(6,8)}\right)$  is generated by  $I = \begin{pmatrix} \beta & 0 \\ 0 & 1 \end{pmatrix}$ ,  $J = \begin{pmatrix} 1 & 0 \\ 0 & \beta \end{pmatrix}$ , the transposition  $\theta = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  of the elliptic factors  $E_{-1}$  of  $A_{-1}$  and the translation  $\tau_{33}$  by  $Q_{33}$ . The aforementioned generators are subject to the relations

$$I^{4} = \mathrm{Id}, \quad J^{4} = \mathrm{Id}, \quad \theta^{2} = \mathrm{Id}, \quad \tau_{33}^{2} = \mathrm{Id},$$
$$IJ = JI, \quad \theta I = J\theta, \quad \theta J = I\theta,$$
$$I\tau_{33} = \tau_{33}I, \quad J\tau_{33} = \tau_{33}J, \quad \theta\tau_{33} = \tau_{33}\theta.$$

and  $G_{-1}^{(6,8)}$  is of order 64.

*Proof.* Any  $g \in G_{-1}^{(6,8)}$  leaves invariant

$$\left(T_{-1}^{(6,8)}\right)^{\text{sing}} = \sum_{1 \le i < j \le 8} T_i \cap T_j = \sum_{m=1}^2 \sum_{n=1}^2 Q_{mn} + Q_{00} + Q_{33}.$$

Thus,  $g(T_i) = T_j$  implies  $s_i = \operatorname{card}(T_i \cap T^{\operatorname{sing}}) = \operatorname{card}(T_j \cap T^{\operatorname{sing}}) = s_j$ , according to the bijectiveness of g. In the case under consideration,  $s_1 = s_2 = s_3 = s_4 =$ 4 and  $s_5 = s_6 = s_7 = s_8 = 2$ , so that  $G_{-1}^{(6,8)}$  permutes separately  $T_1, \ldots, T_4$  and  $T_5, \ldots, T_8$ . In particular, the intersection  $\cap_{i=1}^4 T_i = \{Q_{00}, Q_{33}\}$  is  $G_{-1}^{(6,8)}$ -invariant and any  $g = \tau_{(U,V)}g_o \in G_{-1}^{(6,8)}$  transforms the origin  $\check{o}_{A_{-1}} = Q_{00}$  into  $g(\check{o}_{A_{-1}}) = (U_1, U_2) \in$   $\{Q_{00}, Q_{33}\}. \text{ Straightforwardly, } \tau_{33}(T_i) = T_i \text{ for } 1 \leq i \leq 4 \text{ and } \tau_{33}(T_{m+2n}) = T_{3-m+2n} \text{ for } 1 \leq m \leq 2, \ 2 \leq n \leq 3 \text{ imply that } \tau_{33} \in G_{-1}^{(6,8)}. \text{ Therefore } G_{-1}^{(6,8)} \text{ is generated } \text{by } G_{-1}^{(6,8)} \cap Gl_2(\text{End}(E_{-1})) = G_{-1}^{(6,8)} \cap Gl_2(\mathbb{Z}[i]) \text{ and } \tau_{33}. \text{ Note that } \theta \in Aut(A_{-1}) \text{ acts } \text{ on } T_{-1}^{(6,8)} \text{ and induces the permutation } (T_1, T_3)(T_5, T_7)(T_6, T_8) \text{ of its irreducible components. Therefore } \theta \in G_{-1}^{(6,8)} \text{ and } \langle I, J, \theta \rangle \text{ is a subgroup of } G_{-1}^{(6,8)} \cap Gl_2(\mathbb{Z}[i]). \text{ On the other } \text{ hand, any } g = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in G_{-1}^{(6,8)} \cap \text{GL}_2(\mathbb{Z}[\beta]) \text{ acts on } T_5, \ldots, T_8 \text{ and, therefore, on the } \text{ set } \{\tilde{T}_5 = \tilde{T}_6 = 0 \times \mathbb{C}, \ \tilde{T}_7 = \tilde{T}_8 = \mathbb{C} \times 0\} \text{ of the corresponding universal covers. If } g(0 \times \mathbb{C}) = 0 \times \mathbb{C}, \ g(\mathbb{C} \times 0) = \mathbb{C} \times 0 \text{ then } \beta = \gamma = 0, \text{ so that } \alpha, \delta \in \text{End}(E_{-1}) = \mathbb{Z}[\beta] \text{ and } \det(g) = \alpha\delta \in \text{End}^*(E_{-1}) = \langle \beta \rangle = \mathbb{C}_4 \text{ imply } g = I^k J^l \text{ for some } 0 \leq k, l \leq 3. \text{ Similarly, for } g(0 \times \mathbb{C}) = \mathbb{C} \times 0, \ g(\mathbb{C} \times 0) = 0 \times \mathbb{C} \text{ one has } \alpha = \delta = 0, \text{ whereas } \beta, \gamma \in \mathbb{Z}[\beta], \ \beta\gamma \in \mathbb{Z}[\beta]^* = \langle \beta \rangle \text{ and } g = I^k J^l \theta \text{ for some } 0 \leq k, l \leq 3. \text{ Consequently, } G_{-1}^{(6,8)} \cap Gl_2(\mathbb{Z}[\beta]) = \langle I, J, \theta \rangle \text{ and } G_{-1}^{(6,8)} = \langle I, J, \theta, \tau_{33} \rangle. \text{ The announced relations among } \tau_{33}, I, J, \theta \text{ imply that }$ 

$$G_{-1}^{(6,8)} = \{ \tau_{33}^n I^k J^l \theta^m \mid 0 \le k, l \le 3, \quad 0 \le m, n \le 1 \}$$

is of order 64.

**Theorem 9.** In the notations from Lemma 5, Theorem 6 and Lemma 8, let us consider the subgroups  $H_{HE} = \langle \tau_{33}J^2 \rangle$ ,  $H_{Enr} = \langle -I_2, \tau_{33}I^2 \rangle$ ,  $H_{Rul} = \langle J^2 \rangle$  of  $G_{-1}^{(6,8)} = Aut\left(A_{-1}, T_{-1}^{(6,8)}\right)$ , their liftings  $\Gamma_{HE,-1}^{(6,8)}$ ,  $\Gamma_{Enr,-1}^{(6,8)}$ ,  $\Gamma_{Rul,-1}^{(6,8)}$  to ball lattices and the blow-up  $A_{\widehat{2-tor}}$  of  $A_{-1}$  at the 2-torsion points  $A_{2-tor}$ . Then

(i)  $\mathbb{B}/\Gamma_{HE,-1}^{(6,8)}$  is a smooth ball quotient, birational to the smooth hyperelliptic surface  $A_{-1}/H_{HE}$ ;

(ii)  $\mathbb{B}/\Gamma_{Enr,-1}^{(6,8)}$  is a ball quotient with one double point  $Orb_{H_{Enr}}(Q_{03})$ , which is birational to the smooth Enriques surface  $A_{\widehat{2-tor}}/H_{Enr}$ ;

(iii)  $\mathbb{B}/\Gamma_{Rul,-1}^{(6,8)}$  is a smooth ball quotient, birational to the smooth trivial ruled surface  $A_{-1}/H_{Rul} = E_{-1} \times \mathbb{P}^1$  with an elliptic base  $E_{-1}$ .

*Proof.* (i) Recall that the  $\mathbb{Z}$ -module  $\pi_1(E_{-1}) = \mathbb{Z} + i\mathbb{Z} = \mathbb{Z} + (1+i)\mathbb{Z}$  is generated by 1, 1+i and  $Q_3 = \frac{1+i}{2} \pmod{\pi_1(E_{-1})}$ . The translation  $\tau_{Q_3} : E_{-1} \to E_{-1}$  is of order 2, as well as the morphism

$$\tau_{Q_3}(-1): E_{-1} \longrightarrow E_{-1},$$
  
$$\tau_{Q_3}(-1)(P) = -P + Q_3$$

with four fixed points

$$\frac{1}{2}Q_3 + (E_{-1})_{2-tor} = \frac{1}{2}Q_3 + \{Q_i \,|\, 0 \le i \le 3\}.$$

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According to [5], the quotient  $A_{-1}/H_{HE}$  by the cyclic group

$$H_{HE} = \langle \tau_{Q_3} \times \tau_{Q_3}(-1) \rangle$$

of order 2 is a smooth hyperelliptic surface. Lemma 5 (ii) implies that  $\mathbb{B}/\Gamma_{HE,-1}^{(6,8)}$  is a smooth ball quotient, birational to  $A_{-1}/H_{HE}$ .

(ii) The quotient  $X_{K3} = A_{\widehat{2-tor}}/\langle -I_2 \rangle$  is a smooth K3 surface, called the Kummer surface of  $A_{-1}$ . We claim that the involution  $\tau_{33}I^2$  acts on  $A_{\widehat{2-tor}}$  and determines an unramified double cover

$$\zeta: X_{K3} = A_{\widehat{2-tor}} / \langle -I_2 \rangle \to A_{\widehat{2-tor}} / \langle -I_2, \tau_{33} I^2 \rangle = A_{\widehat{2-tor}} / H_{Enr}.$$

More precisely,  $\tau_{33}I^2 = \tau_{Q_3}(-1) \times \tau_{Q_3}$  leaves invariant the 2-torsion points  $A_{2-tor} = \{Q_{ij} \mid 0 \leq i, j \leq 3\}$  and any choice of an automorphism of  $\mathbb{P}^1$  extends  $\tau_{33}I^2$  to an automorphism of  $A_{2-tor}$ . Note that  $\tau_{33}I^2(-I_2) = (-I_2)\tau_{33}I^2$ , so that  $\tau_{33}I^2$  normalizes  $\langle -I_2 \rangle$  and there is a well defined quotient group  $H_{Enr}/\langle -I_2 \rangle = \langle \tau_{33}I^2 \rangle$  of order 2. That allows to define  $\zeta : X_{K3} \to A_{2-tor}/H_{Enr}$  as an  $H_{Enr}/\langle -I_2 \rangle$ -Galois cover. We claim that  $\tau_{33}I^2$  is a fixed point free involution on  $X_{K3}$ , in order to conclude that  $A_{2-tor}/H_{Enr}$  is a smooth Enriques surface. More precisely, the fixed points of  $\tau_{33}I^2$  on the set  $X_{K3}$  of the  $\langle -I_2 \rangle$ -orbits on  $A_{2-tor}$  lift to  $\varepsilon$ -fixed points of  $\tau_{33}I^2$  on  $A_{2-tor}$  for  $\varepsilon = \pm 1$ . The  $\varepsilon$ -fixed points  $(P,Q) \in A_{-1}$  are subject to

$$\begin{vmatrix} -P + Q_3 = \varepsilon P \\ Q + Q_3 = \varepsilon Q \end{vmatrix}$$

For  $\varepsilon = 1$  the equality  $Q + Q_3 = Q$  has no solution  $Q \in E_{-1}$ , while for  $\varepsilon = -1$  the equation  $-P + Q_3 = -P$  on  $P \in E_{-1}$  is inconsistent. Therefore  $\tau_{33}I^2$  has no  $\varepsilon$ -fixed points on  $A_{-1}$ . By the very definition of the  $\tau_{33}I^2$ -action on  $A_{2-tor}$ , there are no  $\varepsilon$ -fixed points for  $\tau_{33}I^2$  on  $A_{2-tor}$  and  $\tau_{33}I^2 : X_{K3} \to X_{K3}$  is a fixed point free involution. As a result,  $A_{2-tor}/H_{Enr}$  is a smooth Enriques surface.

Recall that the exceptional divisor  $\xi_{2-tor}^{-1}(A_{2-tor})$  of the blow-up

$$\xi_{2-tor}: A_{\widehat{2-tor}} \to A_{-1}$$

of  $A_{-1}$  at  $A_{2-tor}$  is  $H_{Enr}$ -invariant, so that  $\xi_{2-tor}$  descends to the contraction  $\overline{\xi_{2-tor}}$ :  $A_{\widehat{2-tor}}/H_{Enr} \rightarrow A_{-1}/H_{Enr}$  of  $\xi_{2-tor}^{-1}(A_{2-tor})/H_{Enr}$  to  $A_{2-tor}/H_{Enr}$ . In particular, the smooth Enriques surface  $A_{\widehat{2-tor}}/H_{Enr}$  is birational to  $A_{-1}/H_{Enr}$ . The singular locus  $(A_{-1}/H_{Enr})^{\text{sing}} \subseteq (A_{2-tor}/H_{Enr})$ , according to the smoothness of  $A_{\widehat{2-tor}}/H_{Enr}$ . On the other hand,  $\tau_{33}I^2$  has no fixed points on  $A_{2-tor}$ , so that  $A_{2-tor}/H_{Enr}$  consists of eight double points

$$Orb_{H_{Enr}}(Q_{ij}) = Orb_{H_{Enr}}(Q_{3-i,3-j}), \quad 0 \le i, j \le 3$$

and  $(A_{-1}/H_{Enr})^{\text{sing}} = A_{2-tor}/H_{Enr}$ . Note that

$$\left(T_{-1}^{(6,8)}\right)^{\text{sing}} = \{Orb_{H_{Enr}}(Q_{00}), Orb_{H_{Enr}}(Q_{11}), Orb_{H_{Enr}}(Q_{12})\}$$

is contained in  $(A_{-1}/H_{Enr})^{\text{sing}}$  and the birational morphism

$$\xi_{H_{Enr}}: \overline{\left(\mathbb{B}/\Gamma_{Enr,-1}^{(6,8)}\right)} \to A_{-1}/H_{Enr}$$

resolves  $\left(T_{-1}^{(6,8)}\right)^{\text{sing}}$  by smooth rational curves of self-intersection (-2). Therefore  $\overline{\left(\mathbb{B}/\Gamma_{Enr,-1}^{(6,8)}\right)}^{\text{sing}}$  consists of the following five double point:

 $Orb_{H_{Enr}}(Q_{01}), Orb_{H_{Enr}}(Q_{10}), Orb_{H_{Enr}}(Q_{02}), Orb_{H_{Enr}}(Q_{20}), Orb_{H_{Enr}}(Q_{03}).$ 

Since

$$Orb_{H_{Enr}}(Q_{0,m}) \in \left[T_{m+6} \setminus \left(T_{-1}^{(6,8)}\right)^{\operatorname{sing}}\right] / H_{Enr} = \left(T_{m+6}' \setminus L\right) / H_{Enr}$$
$$Orb_{H_{Enr}}(Q_{m,0}) \in \left[T_{m+4} \setminus \left(T_{-1}^{(6,8)}\right)^{\operatorname{sing}}\right] / H_{Enr} = \left(T_{m+4}' \setminus L\right) / H_{Enr}$$

for  $\forall 1 \leq m \leq 2$  belong to the compactifying divisor  $T'/H_{Enr}$ , the ball quotient  $\mathbb{B}/\Gamma_{Enr,-1}^{(6,8)}$  has only one singular point

$$\left(\mathbb{B}/\Gamma_{Enr,-1}^{(6,8)}\right)^{\text{sing}} = \{Orb_{H_{Enr}}(Q_{0,3})\}.$$

(iii) The quotient  $X = A_{-1}/H_{Rul} = E_{-1} \times [E_{-1}/\langle (-1) \rangle]$  of  $A_{-1}$  by the reflection  $J^2 = 1 \times (-1)$  is a smooth surface, birational to the smooth ball quotient  $\mathbb{B}/\Gamma_{Rul,-1}^{(6,8)}$ . It is well known that  $C = E_{-1}/\langle -1 \rangle$  is a smooth projective curve. More precisely, if

$$\mathfrak{p}(t) = \frac{1}{t^2} + \sum_{\lambda \in (\mathbb{Z} + i\mathbb{Z}) \setminus \{0\}} \left[ \frac{1}{(t-\lambda)^2} - \frac{1}{\lambda^2} \right]$$

is the Weierstrass  $\mathfrak{p}$ -function, associated with the lattice  $\mathbb{Z} + i\mathbb{Z} = \pi_1(E_{-1})$ , then the map

$$\psi: E_{-1} \setminus \{\check{o}_{E_{-1}}\} \longrightarrow \mathbb{P}^2,$$
  
$$\psi(t + (\mathbb{Z} + i\mathbb{Z})) = [1: \mathfrak{p}(t + (\mathbb{Z} + i\mathbb{Z})): \mathfrak{p}'(t + (\mathbb{Z} + i\mathbb{Z}))] = [1: \mathfrak{p}(t): \mathfrak{p}'(t)]$$

extends by  $\psi(\check{o}_{E_{-1}}) = [0:0:1] = p_{\infty}$  to a projective embedding of  $E_{-1}$ . The image

$$\psi(E_{-1}) = \left\{ [z:x:y] \in \mathbb{P}^2 ; \ zy^2 = (x - \mathfrak{p}(Q_1))(x - \mathfrak{p}(Q_2))(x - \mathfrak{p}(Q_3)) \right\}$$

is a cubic hypersurface in  $\mathbb{P}^2$ . As far as  $\mathfrak{p}(t)$  is even and  $\mathfrak{p}'(t)$  is an odd function of t, the multiplication  $\mu_{-1}$  by -1 on  $E_{-1}$  acts on  $\psi(E_{-1})$  by the rule

$$\mu_{-1}([z:x:y]) = [z:x:-y].$$

The fixed points of this action are  $p_{\infty}$  and  $\mathfrak{p}(Q_i)$  for  $1 \leq i \leq 3$ . The fibres of the projection

$$\Pi: \psi(E_{-1}) \setminus \{p_{\infty}\} \longrightarrow \mathbb{P}^1 \setminus \{q_{\infty} = [0:1]\},\$$

 $\Pi([z:x:y]) = [z:x]$ 

are exactly the  $\mu_{-1}$ -orbits on  $\psi(E_{-1}) \setminus \{p_{\infty}\}$ , so that its image

$$\mathbb{P}^1 \setminus \{q_\infty\} = \Pi(\psi(E_{-1}) \setminus \{p_\infty\}) = (\psi(E_{-1}) \setminus \{p_\infty\}) / \langle \mu_{-1} \rangle$$

is the corresponding Galois quotient by the cyclic group  $\langle \mu_{-1} \rangle$  of order 2. Thus,

$$\psi(E_{-1})/\langle \mu_{-1}\rangle = (\psi(E_{-1}) \setminus \{p_{\infty}\})/\langle \mu_{-1}\rangle \cup \{p_{\infty}\} = (\mathbb{P}^1 \setminus \{q_{\infty}\}) \cup \{p_{\infty}\} = \mathbb{P}^1.$$

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