

# The enumeration degrees: Local and global structural interactions

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10th Panhellenic Logic Symposium

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<sup>1</sup>Supported by a Marie Curie International Outgoing Fellowship STRIDE (298471) and Sofia University Science Fund project 81/2015.

## The spectrum of relative definability

If a set of natural numbers  $A$  can be *defined* using as parameter a set of natural numbers  $B$ , then  $A$  is reducible to  $B$ .

- 1 There is a total computable function  $f$ , such that  $x \in A$  if and only if  $f(x) \in B$ : many-one reducibility ( $A \leq_m B$ ).
- 2 There is an algorithm to determine whether  $x \in A$  using finitely many facts about membership in  $B$ : Turing reducibility ( $A \leq_T B$ ).
- 3 There is an algorithm that allows us to enumerate  $A$  using any enumeration of  $B$ : enumeration reducibility ( $A \leq_e B$ ).
- 4 There is an arithmetical formula with parameter  $B$  that determines whether  $x \in A$ : arithmetical reducibility ( $A \leq_a B$ ).
- 5  $B$  can compute a complete description of  $A$  in terms of the Borel hierarchy: hyperarithmetical reducibility ( $A \leq_h B$ ).

# Degree structures

## Definition

- $A \equiv B$  if  $A \leq B$  and  $B \leq A$ .
- $d(A) = \{B \mid A \equiv B\}$ .
- $d(A) \leq d(B)$  if and only if  $A \leq B$ .
- There is a least upper bound operation  $\vee$ .
- There is a jump operation  $'$ .



## The many-one degrees

### Theorem (Ershov, Paliutin)

The partial ordering of the many-one degrees is the unique partial order  $P$  such that the following conditions hold.

- 1  $P$  is a distributive upper-semi-lattice with least element.
- 2 Every element of  $P$  has at most countably many predecessors.
- 3  $P$  has cardinality the continuum.
- 4 Given any distributive upper-semi-lattice  $L$  with least element and of cardinality less than the continuum with the countable predecessor property and given an isomorphism  $\pi$  between an ideal  $I$  in  $L$  and an ideal  $\pi(I)$  in  $P$ , there is an extension  $\pi^*$  of  $\pi$  to an isomorphism between  $L$  and  $\pi^*(L)$  such that  $\pi^*(L)$  is an ideal in  $P$ .

The automorphism group of  $\mathcal{D}_m$  has cardinality  $2^{2^\omega}$  and every element of  $\mathcal{D}_m$  other than its least one,  $\mathbf{0}_m$ , has a nontrivial orbit.

# The hyperarithmetical degrees

## Theorem (Slaman and Woodin: Biinterpretability)

The partial ordering of the hyperarithmetical degrees is *biinterpretable* with the structure of second-order arithmetic. There is a way within the ordering  $\mathcal{D}_h$  to represent the standard model of arithmetic  $\langle \mathbb{N}, +, *, <, 0, 1 \rangle$  and each set of natural numbers  $X$  so that the relation

$\vec{p}$  represents the set  $X$  and  $\mathbf{x}$  is the hyper-arithmetical degree of  $X$ .

can be defined in  $\mathcal{D}_h$  as a property of  $\vec{p}$  and  $\mathbf{x}$ .

- There are no nontrivial automorphisms of  $\mathcal{D}_h$ .
- A relation on degrees is definable in  $\mathcal{D}_h$  if and only if the corresponding relation on sets is definable in second order arithmetic.

## Understanding the middle of the spectrum

### Theorem (Simpson)

The first order theory of  $\mathcal{D}_T$  is computably isomorphic to the theory of second order arithmetic.

### Theorem (Slaman, Woodin: Biinterpretability with parameters)

There is a way within  $\mathcal{D}_T$  to represent the standard model of arithmetic  $\langle \mathbb{N}, +, *, <, 0, 1 \rangle$  and each set of natural numbers  $X$  so that the relation

$\vec{p}$  represents the set  $X$  and  $\mathbf{x}$  is the Turing degree of  $X$ .

can be defined using a parameter  $\mathbf{g}$  in  $\mathcal{D}_T$  as a property of  $\vec{p}$  and  $\mathbf{x}$ .

- There are at most countably many automorphisms of  $\mathcal{D}_T$ .
- Relations on degrees induced by a relations on sets definable in second order arithmetic are definable with parameters in  $\mathcal{D}_T$ .
- The degrees below  $\mathbf{0}^{(5)}$  form an automorphism base.
- Rigidity is equivalent to full biinterpretability.

## Understanding the middle of the spectrum

### Theorem (Slaman, Woodin)

The first order theory of  $\mathcal{D}_e$  is computably isomorphic to the theory of second order arithmetic.

### Theorem (S: Biinterpretability with parameters)

There is a way within  $\mathcal{D}_e$  to represent the standard model of arithmetic  $\langle \mathbb{N}, +, *, <, 0, 1 \rangle$  and each set of natural numbers  $X$  so that the relation

*$\vec{p}$  represents the set  $X$  and  $\mathbf{x}$  is the enumeration degree of  $X$ .*

can be defined using a parameter  $\mathbf{g}$  in  $\mathcal{D}_e$  as a property of  $\vec{p}$  and  $\mathbf{x}$ .

- There are at most countably many automorphisms of  $\mathcal{D}_e$ .
- Relations on degrees induced by a relations on sets definable in second order arithmetic are definable with parameters in  $\mathcal{D}_e$ .
- The degrees below  $\mathbf{0}_e^{(8)}$  form an automorphism base.
- Rigidity is equivalent to full biinterpretability.

## Local structures

### Definition

$\mathcal{R}$  is the substructure consisting of all Turing degrees that contain c.e. sets.

$\mathcal{D}_T(\leq \mathbf{0}')$  is the substructure consisting of all Turing degrees that are bounded by  $\mathbf{0}'_T$ .

$\mathcal{D}_e(\leq \mathbf{0}'_e)$  is the substructure consisting of all enumeration degrees that are bounded by  $\mathbf{0}'_e$ .

### Theorem (Harrington, Slaman; Shore; Ganchev, S)

The theory of each local structure is computably isomorphic to first order arithmetic.

### Theorem (Slaman, S)

The local structure of the Turing degrees,  $\mathcal{D}_T(\leq \mathbf{0}')$ , is biinterpretable with first order arithmetic modulo the use of finitely many parameters.

## Reducibilities

Reducibility	Oracle set $B$	Reduced set $A$
$A \leq_T B$	Complete information	Complete information
$A$ c.e. in $B$	Complete information	Positive information
$A \leq_e B$	Positive information	Positive information

### Definition

- ①  $A \leq_e B$  if there is a c.e. set  $W$ , such that

$$A = W(B) = \{x \mid \exists D(\langle x, D \rangle \in W \ \& \ D \subseteq B)\}.$$

- ②  $A$  c.e. in  $B$  if there is a c.e. set  $W$ , such that

$$A = W^B = \{x \mid \exists D_1, D_2(\langle x, D_1, D_2 \rangle \in W \ \& \ D_1 \subseteq B \ \& \ D_2 \subseteq \overline{B})\}.$$

- ③  $A \leq_T B$  if  $A$  c.e. in  $B$  and  $\overline{A}$  c.e. in  $B$ .

## What connects $\mathcal{D}_T$ and $\mathcal{D}_e$

### Proposition

$$A \leq_T B \Leftrightarrow A \oplus \bar{A} \text{ is c.e. in } B \Leftrightarrow A \oplus \bar{A} \leq_e B \oplus \bar{B}.$$

The embedding  $\iota : \mathcal{D}_T \rightarrow \mathcal{D}_e$ , defined by  $\iota(d_T(A)) = d_e(A \oplus \bar{A})$ , preserves the order, the least upper bound and the jump operation.

$\mathcal{TOT} = \iota(\mathcal{D}_T)$  is the set of total enumeration degrees.

$$(\mathcal{D}_T, \leq_T, \vee, ', \mathbf{0}_T) \cong (\mathcal{TOT}, \leq_e, \vee, ', \mathbf{0}_e) \subseteq (\mathcal{D}_e, \leq_e, \vee, ', \mathbf{0}_e)$$

### Theorem (Selman)

$A$  is enumeration reducible to  $B$  if and only if

$$\{\mathbf{x} \in \mathcal{TOT} \mid d_e(A) \leq \mathbf{x}\} \supseteq \{\mathbf{x} \in \mathcal{TOT} \mid d_e(B) \leq \mathbf{x}\}.$$

$\mathcal{TOT}$  is an automorphism base for  $\mathcal{D}_e$ .

## Definability in $\mathcal{D}_T$ and the local structures

### Theorem (Shore, Slaman)

The Turing jump is first order definable in  $\mathcal{D}_T$ .

- A degree  $\mathbf{a}$  is  $\text{Low}_n$  if  $\mathbf{a}^{(n)} = \mathbf{0}_T^{(n)}$ .
- A degree  $\mathbf{a}$  is  $\text{High}_n$  if  $\mathbf{a}^{(n)} = \mathbf{0}_T^{(n+1)}$ .

### Theorem (Nies, Shore, Slaman)

All jump classes apart from  $\text{Low}_1$  are first order definable in  $\mathcal{R}$  and in  $\mathcal{D}_T(\leq \mathbf{0}')$ .

*Method:* “Involves explicit translation of automorphism facts in definability facts via a coding of second order arithmetic.”

## Semi-computable sets

### Definition (Jockusch)

$A$  is semi-computable if there is a total computable function  $s_A$ , such that  $s_A(x, y) \in \{x, y\}$  and if  $\{x, y\} \cap A \neq \emptyset$  then  $s_A(x, y) \in A$ .

*Example:*

- A *left cut* in a computable linear ordering is a semi-computable set.
- Every nonzero Turing degree contains a semi-computable set that is not c.e. or co-c.e.

### Theorem (Arslanov, Cooper, Kalimullin)

If  $A$  is a semi-computable set then for every  $X$ :

$$(d_e(X) \vee d_e(A)) \wedge (d_e(X) \vee d_e(\overline{A})) = d_e(X).$$

## Kalimullin pairs

### Definition (Kalimullin)

A pair of sets  $A, B$  are called a  $\mathcal{K}$ -pair if there is a c.e. set  $W$ , such that  $A \times B \subseteq W$  and  $\overline{A} \times \overline{B} \subseteq \overline{W}$ .

*Example:*

- 1 A trivial example is  $\{A, U\}$ , where  $U$  is c.e:  $W = \mathbb{N} \times U$ .
- 2 If  $A$  is a semi-computable set, then  $\{A, \overline{A}\}$  is a  $\mathcal{K}$ -pair:  
 $W = \{(m, n) \mid s_A(m, n) = m\}$ .

### Theorem (Kalimullin)

A pair of sets  $A, B$  is a  $\mathcal{K}$ -pair if and only if their enumeration degrees  $\mathbf{a}$  and  $\mathbf{b}$  satisfy:

$$\mathcal{K}(\mathbf{a}, \mathbf{b}) \Leftrightarrow (\forall \mathbf{x} \in \mathcal{D}_e)((\mathbf{a} \vee \mathbf{x}) \wedge (\mathbf{b} \vee \mathbf{x}) = \mathbf{x}).$$

## Definability of the enumeration jump

### Theorem (Kalimullin)

$\mathbf{0}'_e$  is the largest degree which can be represented as the least upper bound of a triple  $\mathbf{a}, \mathbf{b}, \mathbf{c}$ , such that  $\mathcal{K}(\mathbf{a}, \mathbf{b})$ ,  $\mathcal{K}(\mathbf{b}, \mathbf{c})$  and  $\mathcal{K}(\mathbf{c}, \mathbf{a})$ .

### Corollary (Kalimullin)

The enumeration jump is first order definable in  $\mathcal{D}_e$ .

# Definability in the local structure of the enumeration degrees

## Theorem (Ganchev, S)

The class of  $\mathcal{K}$ -pairs below  $\mathbf{0}'_e$  is first order definable in  $\mathcal{D}_e(\leq \mathbf{0}'_e)$ ...

## Theorem (Cai, Lempp, Miller, S)

...by the same formula as in  $\mathcal{D}_e$ .

## Theorem (Ganchev, S)

The low enumeration degrees are first order definable in  $\mathcal{D}_e(\leq \mathbf{0}'_e)$ :  $\mathbf{a}$  is low if and only if every  $\mathbf{b} \leq \mathbf{a}$  bounds a half of a  $\mathcal{K}$ -pair.

## Maximal $\mathcal{K}$ -pairs

### Definition

A  $\mathcal{K}$ -pair  $\{\mathbf{a}, \mathbf{b}\}$  is maximal if for every  $\mathcal{K}$ -pair  $\{\mathbf{c}, \mathbf{d}\}$  with  $\mathbf{a} \leq \mathbf{c}$  and  $\mathbf{b} \leq \mathbf{d}$ , we have that  $\mathbf{a} = \mathbf{c}$  and  $\mathbf{b} = \mathbf{d}$ .

*Example:* A semi-computable pair is a maximal  $\mathcal{K}$ -pair.  
Total enumeration degrees are joins of maximal  $\mathcal{K}$ -pairs.

### Theorem (Ganchev, S)

In  $\mathcal{D}_e(\leq \mathbf{0}'_e)$  a nonzero degree is total if and only if it is the least upper bound of a maximal  $\mathcal{K}$ -pair.

# The main definability question

## Question (Rogers 1967)

Are the total enumeration degrees first order definable in  $\mathcal{D}_e$ ?

- 1 The total degrees above  $0'_e$  are definable as the range of the jump operator.
- 2 The total degrees below  $0'_e$  are definable as joins of maximal  $\mathcal{K}$ -pairs.
- 3 The total degrees are definable with parameters in  $\mathcal{D}_e$ .

Every total degree is the join of a maximal  $\mathcal{K}$ -pair.

## Question (Ganchev, S)

Is the the join of every maximal  $\mathcal{K}$ -pair total?

## Defining totality in $\mathcal{D}_e$

### Theorem (Cai, Ganchev, Lempp, Miller, S)

If  $\{A, B\}$  is a nontrivial  $\mathcal{K}$ -pair in  $\mathcal{D}_e$  then there is a semi-computable set  $C$ , such that  $A \leq_e C$  and  $B \leq_e \overline{C}$ .

*Proof flavor:* Let  $W$  be a c.e. set witnessing that a pair of sets  $\{A, B\}$  forms a nontrivial  $\mathcal{K}$ -pair.

- 1 The countable component: we use  $W$  to construct an effective labeling of the computable linear ordering  $\mathbb{Q}$ .
- 2 The uncountable component:  $C$  will be a left cut in this ordering.

### Theorem (Cai, Ganchev, Lempp, Miller, S)

The set of total enumeration degrees is first order definable in  $\mathcal{D}_e$ .

## The relation *c.e. in*

### Definition

A Turing degree  $\mathbf{a}$  is *c.e. in* a Turing degree  $\mathbf{x}$  if some  $A \in \mathbf{a}$  is c.e. in some  $X \in \mathbf{x}$ .

Recall that  $\iota$  is the standard embedding of  $\mathcal{D}_T$  into  $\mathcal{D}_e$ .

### Theorem (Cai, Ganchev, Lempp, Miller, S)

The set  $\{\langle \iota(\mathbf{a}), \iota(\mathbf{x}) \rangle \mid \mathbf{a} \text{ is c.e. in } \mathbf{x}\}$  is first order definable in  $\mathcal{D}_e$ .

- 1 Ganchev, S had observed that if  $\mathcal{TOT}$  is definable by maximal  $\mathcal{K}$ -pairs then the image of the relation ‘c.e. in’ is definable for non-c.e. degrees.
- 2 A result by Cai and Shore allowed us to complete this definition.

## The total degrees as an automorphism base

### Theorem (Selman)

$A$  is enumeration reducible to  $B$  if and only if

$$\{\mathbf{x} \in \mathcal{TOT} \mid d_e(A) \leq \mathbf{x}\} \supseteq \{\mathbf{x} \in \mathcal{TOT} \mid d_e(B) \leq \mathbf{x}\}.$$

### Corollary

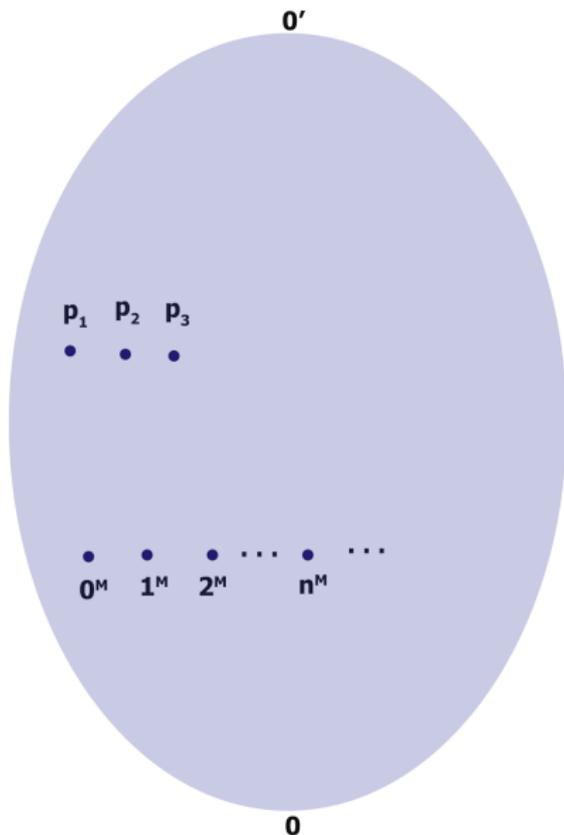
The total enumeration degrees form a definable automorphism base of the enumeration degrees.

- If  $\mathcal{D}_T$  is rigid then  $\mathcal{D}_e$  is rigid.
- The automorphism analysis for the enumeration degrees follows.
- The total degrees below  $\mathbf{0}_e^{(5)}$  are an automorphism base of  $\mathcal{D}_e$ .

### Question

Can we improve this bound further?

# The local coding theorem of Slaman and Woodin



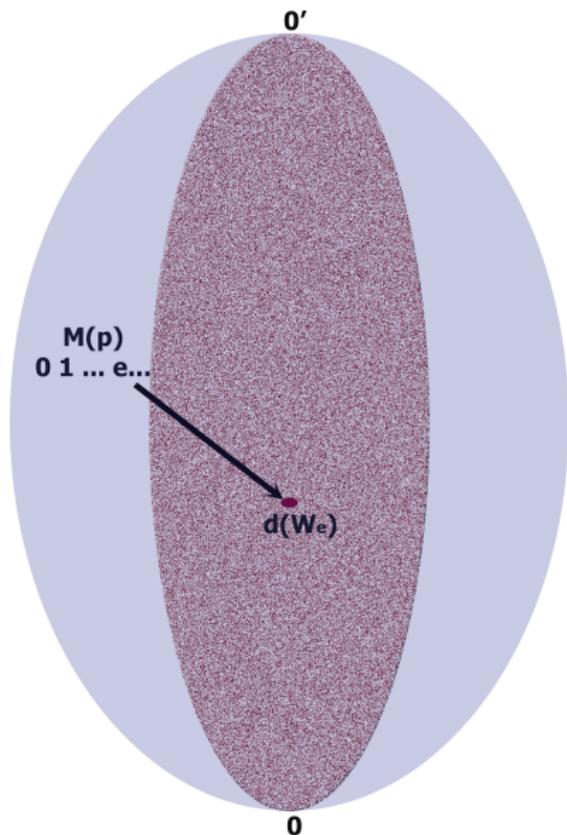
Using parameters we can code a model of arithmetic  $\mathcal{M} = (\mathbb{N}^{\mathcal{M}}, 0^{\mathcal{M}}, s^{\mathcal{M}}, +^{\mathcal{M}}, \times^{\mathcal{M}}, \leq^{\mathcal{M}})$ .

- 1 The set  $\mathbb{N}^{\mathcal{M}}$  is definable with parameters  $\vec{p}$ .
- 2 The graphs of  $s$ ,  $+$ ,  $\times$  and the relation  $\leq$  are definable with parameters  $\vec{p}$ .
- 3  $\mathbb{N} \models \varphi$  iff  $\mathcal{D}_T(\leq \mathbf{0}') \models \varphi_T(\vec{p})$

# An indexing of the c.e. degrees

## Theorem (Slaman, Woodin)

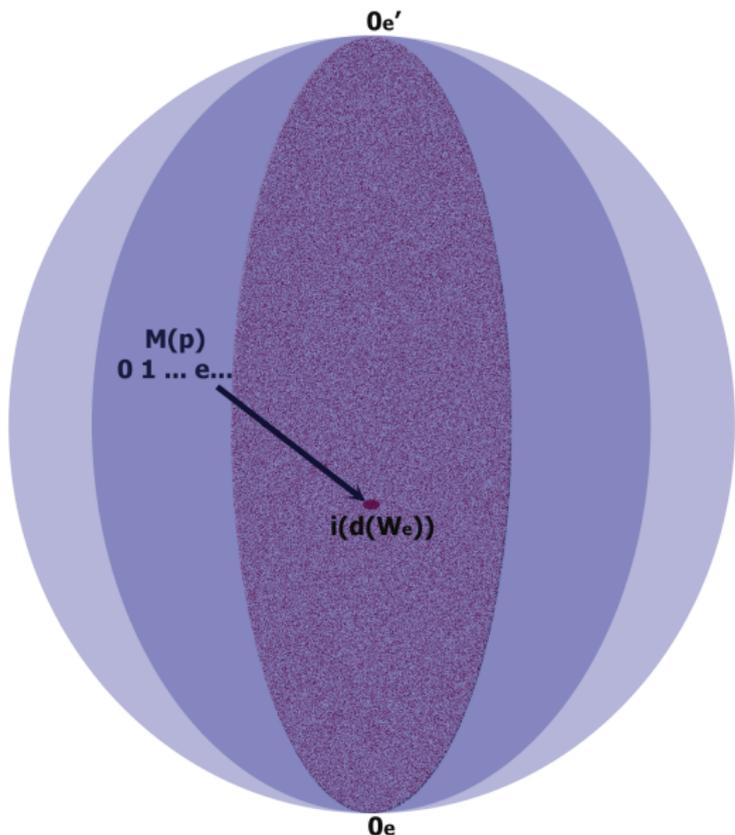
There are finitely many  $\Delta_2^0$  parameters which code a model of arithmetic  $\mathcal{M}$  and an indexing of the c.e. degrees: a function  $\psi : \mathbb{N}^{\mathcal{M}} \rightarrow \mathcal{D}_T(\leq \mathbf{0}')$  such that  $\psi(e^{\mathcal{M}}) = d_T(W_e)$ .



## Towards a better automorphism base of $\mathcal{D}_e$

### Theorem (Slaman, Woodin)

There are total  $\Delta_2^0$  parameters that code a model of arithmetic  $\mathcal{M}$  and an indexing of the image of the c.e. Turing degrees.

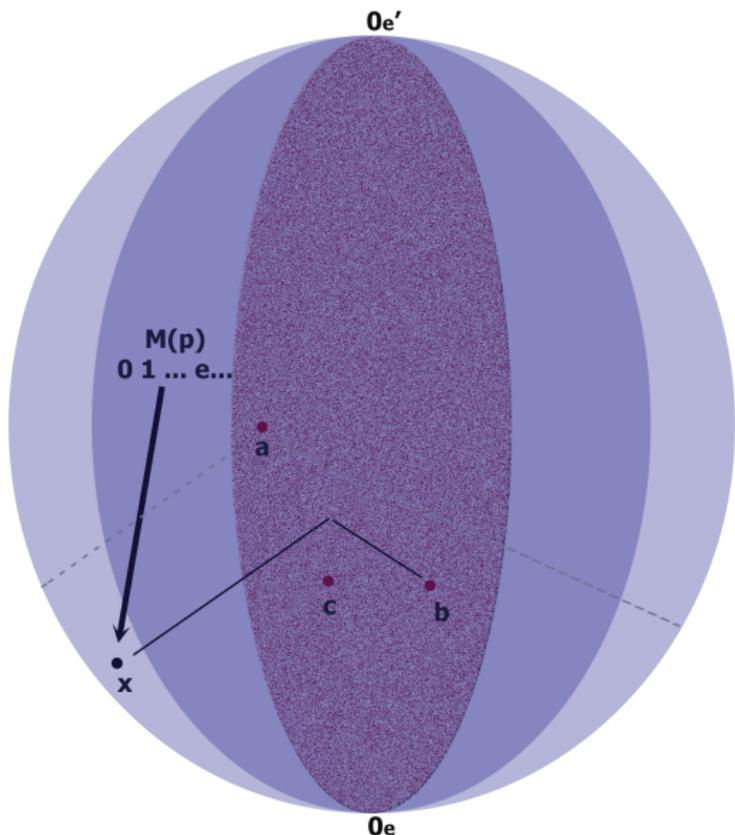


## Towards a better automorphism base of $\mathcal{D}_e$

### Theorem (Slaman, Woodin)

There are total  $\Delta_2^0$  parameters that code a model of arithmetic  $\mathcal{M}$  and an indexing of the image of the c.e. Turing degrees.

*Idea:* Can we extend this indexing to capture more elements in  $\mathcal{D}_e$ ?



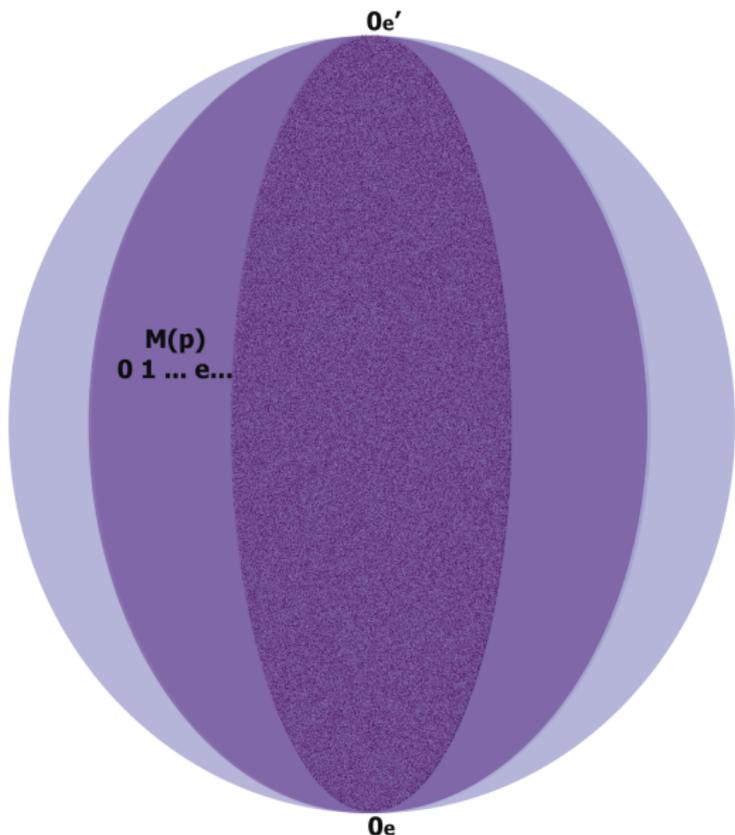
## Towards a better automorphism base of $\mathcal{D}_e$

### Theorem (Slaman, S)

If  $\vec{p}$  defines a model of arithmetic  $\mathcal{M}$  and an indexing of the image of the c.e. Turing degrees then  $\vec{p}$  defines an indexing of the total  $\Delta_2^0$  enumeration degrees.

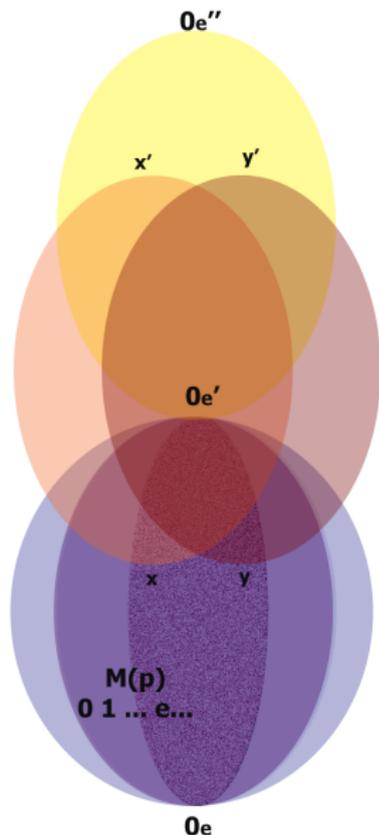
*Proof flavour:*

- The image of the c.e. degrees
- The low co-d.c.e. e-degrees
- The low  $\Delta_2^0$  e-degrees
- The total  $\Delta_2^0$  e-degrees



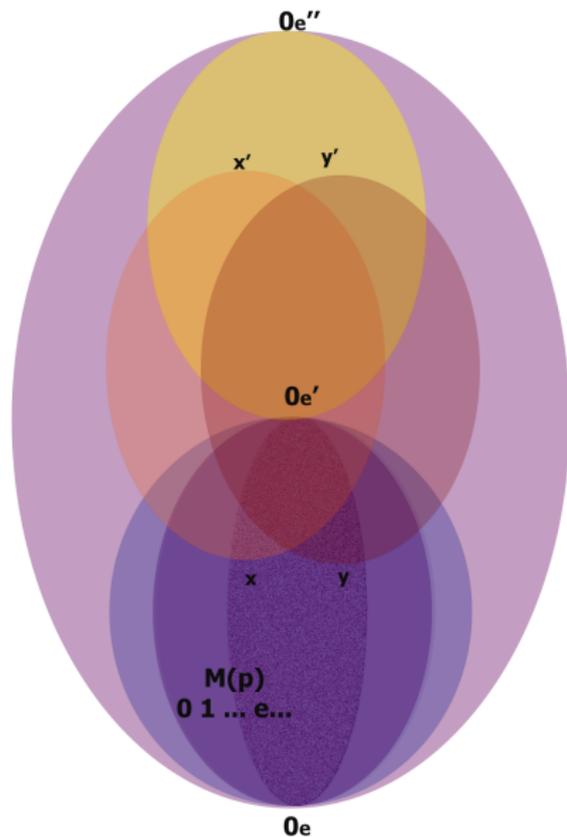
# Moving outside the local structure

- 1 Extend to an indexing of all total degrees that are “c.e. in” and above some total  $\Delta_2^0$  enumeration degree.
  - ▶ The jump is definable.
  - ▶ The image of the relation “c.e. in” is definable.
- 2 Relativizing the previous theorem extend to an indexing of  $\bigcup_{\mathbf{x} \leq \mathbf{0}'} \iota([\mathbf{x}, \mathbf{x}'])$ .

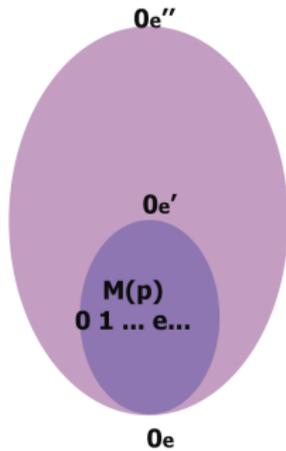


## Moving outside the local structure

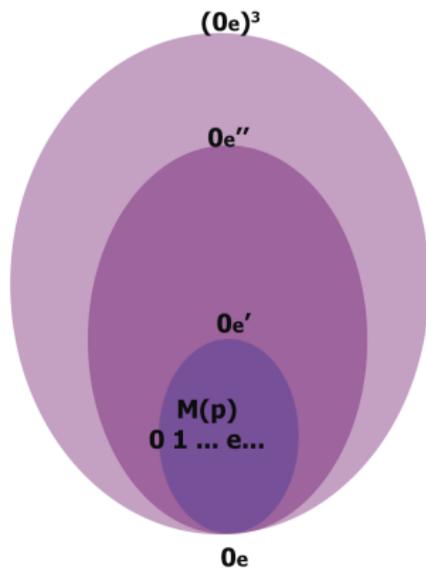
- 3 Extend to an indexing of all total degrees below  $0_e''$ .



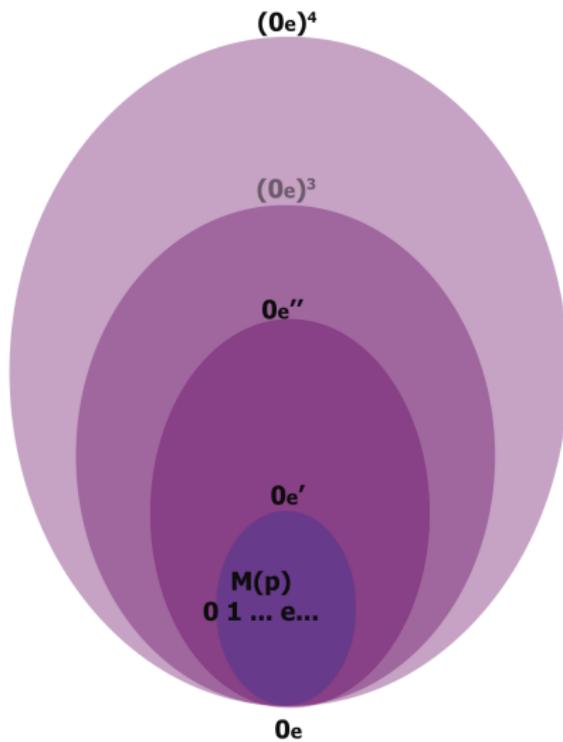
And now we iterate



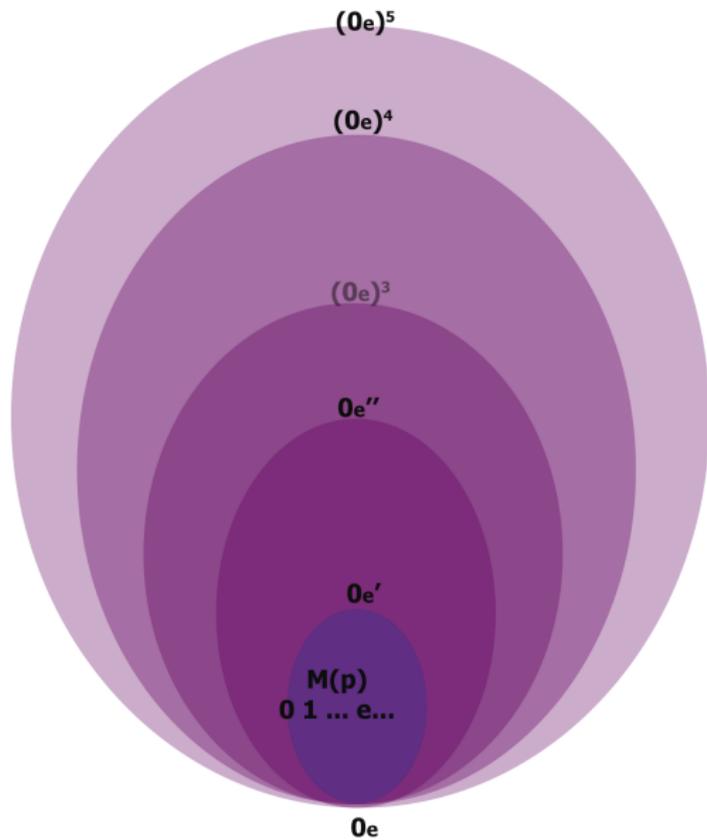
And now we iterate



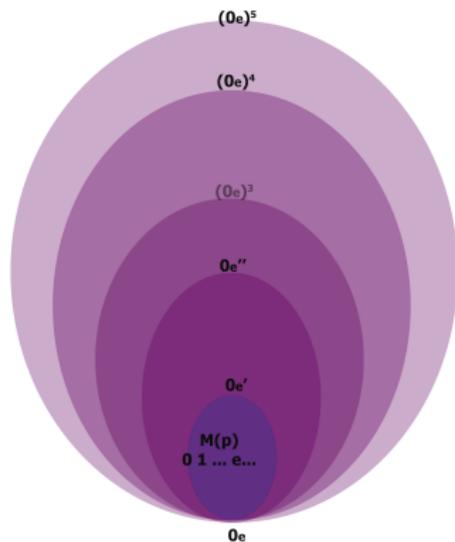
And now we iterate



And now we iterate



And now we iterate



### Theorem (Slaman, S)

Let  $n$  be a natural number and  $\vec{p}$  be parameters that index the image of the c.e. Turing degrees. There is a definable from  $\vec{p}$  indexing of the total  $\Delta_{n+1}^0$  degrees.

# Consequences

## Theorem (Slaman, S)

- 1 The enumeration degrees below  $\mathbf{0}'_e$  are an automorphism base for  $\mathcal{D}_e$ .
- 2 The image of the c.e. Turing degrees is an automorphism base for  $\mathcal{D}_e$ .
- 3 If the structure of the c.e. Turing degrees is rigid then so is the structure of the enumeration degrees.

## Question

- 1 Can we show that there is a similar interaction between the local and global structures of the Turing degrees?
- 2 Can we show that the local structure of the enumeration degrees is biinterpretable with first order arithmetic (with or without parameters)?



**Thank you!**